HYBRID ARTIFICIAL NEURAL NETWORKS BASED ON ACO-RPROP FOR GENERATING MULTIPLE SPECTRUM-COMPATIBLE ARTIFICIAL EARTHQUAKE RECORDS FOR SPECIFIED SITE GEOLOGY

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ABSTRACT

The main objective of this paper is to use ant optimized neural networks to generate artificial earthquake records. In this regard, training accelerograms selected according to the site geology of recorder station and Wavelet Packet Transform (WPT) used to decompose these records. Then Artificial Neural Networks (ANN) optimized with Ant Colony Optimization and resilient Backpropagation algorithm and learn to relate the dimension reduced response spectrum of records to their wavelet packet coefficients. Trained ANNs are capable to produce wavelet packet coefficients for a specified spectrum, so by using inverse WPT artificial accelerograms obtained. By using these tools, the learning time of ANNs reduced salient and generated accelerograms had more spectrum-compatibility and save their essence as earthquake accelerograms.

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KEY WORDS: artificial earthquake accelerograms; ant colony optimization algorithm; wavelet packet transform; artificial neural network; principal component analysis; resilient backpropagation algorithm

1. INTRODUCTION

Civil engineers use ‘response spectrum’ to evaluate the seismic response of ordinary...
structures and to design or seismic rehabilitation of structures to withstand earthquake forces. However, response spectrum process has limitations to provide temporal information on structural response and behavior. Furthermore, major and important structures like dams, power plants and high-rise buildings, need an economical and safe design. For satisfying the criteria of efficient design, engineers should use new design procedures. Time-history analysis, performance based design and controlling of structures are some of these procedures that use earthquake accelerograms. However, there are not enough and appropriate earthquake records in some parts of the world. So generation of artificial earthquake accelerograms that are compatible with a specified spectrum discover an important aspect.

There are many methods for generating spectrum-compatible artificial earthquake accelerograms, which categorized as three or four major fields:

- Stochastic Methods
- Ray-Theory Methods
- Hybrid Method
- New Biologically Soft Computing Methods

Because the method that proposed in this paper is located in the last categorize, just a brief history and major works of this method are demonstrated. New biologically soft computing methods introduced at the recent years with the usage of artificial intelligence for solving inverse problems. Ghaboussi and Lin [1] used a replicator artificial neural network (ANN) for compressing Fourier transform of training accelerograms and then a multi-layer feed-forward (MLFF) was trained to relate the pseudo-velocity response spectrum (PSV) of training set to compressed Fourier transform. With these two ANNs, they could create only a single accelerogram. Then they modified their MLFF by applying Gaussian noise to the outputs of each layer, so this ANN was capable of generating multiple spectrum compatible accelerograms [2]. Lee and Han used five ANNs for this purpose and made relations between seismological parameters and their accelerograms characteristics and response spectrums [3]. Rajasekaran et al. introduced a new method based on Lee and Han algorithm and the ability of Principal Component Analysis (PCA) in dimension reduction of data [4].

Ghodrati Amiri et al. used the abilities of radial basis function (RBF) neural networks and discrete wavelet transform (DWT) to produce a single accelerogram [5]. Next, Ghodrati Amiri et al. used stochastic neural networks to make a relation between PSV and the Wavelet Packet Coefficients (WPC) to generate multiple earthquake accelerograms [6]. Recently, Ghodrati et al. by means of a hybrid GA-MLFF network produced an inverse mapping from a response spectrum to effective principal components of accelerograms’ WPC [7].

In this paper, the capability of ANN in solving inverse problems used to generate multiple spectrum-compatible earthquake records for specified site geology. In this regard, some training accelerograms from Iranian strong motion database selected and categorized into Soil and Rock groups according to the site geology of recorder station. ANNs used to make relations between pseudo-velocity or acceleration response spectrums (PSV/PSA) and WPC of training sets. Because response spectrums calculated at 1000 points, for decreasing the number of neurons at input layers of ANNs, we used PCA to select only effective coefficients at response spectrums. Furthermore, for overcoming the drawbacks that encourage at training phase of ANN (rapid convergence on the local optima and long time of
evolving), Ant Colony Optimization algorithm (ACO) combined with resilient backpropagation algorithm are used for evolving ANNs.

2. ANT COLONY OPTIMIZATION ALGORITHM

The initial ant colony algorithm was proposed by Dorigo in order to find a solution for Traveling Salesman Problem (TSP), and was inspired from the real ants’ [8]. Ants are social insects that live in big colonies, and one of the very interesting aspects of the behavior of ant species is their ability to find shortest paths between the ants’ nest and the food sources. In this operation, when ants leave their nest to find food, they lay down pheromone trails, which other ants then tend to follow it.

The basic ingredient of ACO is the use of a probabilistic solution construction mechanism based on stigmergy. Artificial ants have internal memories, which used for storing the path followed by the ants, and deposit and update pheromone trails so other ants can follow their path according to these amounts. The original algorithm of the ACO can be outlined as algorithm 1.

Algorithm 1: The basic ACO algorithm flow chart [9]

Parameter Initialization
Represent the problem by a weighted connect graph
While termination conditions not met do
Ant Solution Construct()
Construct ants’ solution according to the amount of pheromone trail matrix
Pheromone Update()
Compute each ant’s solution cost and update the pheromone trail matrix

The probability of the $k$th ant making the transition from node $i$ to node $j$ is given by:

$$
p^k_{ij}(t) = \begin{cases} 
\frac{[\tau_{ij}(t)]^\alpha [\eta_{ij}]^\beta}{\sum_{k \in \text{allowed}_k} [\tau_{ij}(t)]^\alpha [\eta_{ij}]^\beta} & \text{if } j \in \text{allowed}_k \\
0 & \text{otherwise,} \end{cases} \tag{1}
$$

where $\text{allowed}_k$ are the nodes that ants can select them according to given rules and $\alpha$ and $\beta$ respectively control the relative importance of the pheromone trail and visibility. $\tau_{ij}(t)$ is the pheromone intensity of the path between node $i$ and $j$ and $\eta_{ij}$ is the heuristic information and is defined as the quantity $1/d_{ij}$.

After each epoch, the pheromone intensity trails updated according to the following formula:

$$
\tau_{ij}(t + n) = (1 - \rho)\tau_{ij}(t) + \sum_{k=1}^{N} \Delta \tau_{ij}^k, \tag{2}
$$
where $\rho \in (0,1]$ is the evaporation rate and $\Delta r_{ij}^k$ is the quantity of pheromone released on path $(i, j)$ by the $k$th ant between time $t$ and $t + n$, and is calculated by:

$$
\Delta r_{ij}^k = \begin{cases} 
Q/L_k & \text{if ant } k \text{ used edge } (i, j) \text{ in its tour} \\
0 & \text{otherwise,}
\end{cases}
$$

where $Q$ is a constant and $L_k$ is the tour length of the $k$th ant [10].

ACO successfully applied to many numerous combinatorial optimization problems such as TSP, quadratic assignment problems, scheduling problems, and vehicle routing problems [11].

3. ARTIFICIAL NEURAL NETWORKS

Artificial neural networks are a portion of “Expert Systems” or “Computational Intelligence Systems” that inspired from the architecture and internal features of the human brain and nervous system. ANNs are consisting of a large number of simple processing elements called as neurons. Their power comes from the parallel processing of the data’s information that follows from input layer to output layer via neurons’ connections.

The Multi-layer feed forward (MLFF) neural network is the most common neural network structure. The relationship between the $i$th output ($y_i$) and the inputs ($x_1, x_2, ..., x_p$) has the following mathematical representation [12,13]:

$$
y_i = h \left[ \sum_{j=1}^{q} w_{ij} \cdot g \left( \sum_{i=1}^{p} w_{ij} \cdot x_i + w_{0ij} \right) + w_{0ij} \right],
$$

where $h$ and $g$ are activation functions of hidden and output layers respectively; $w_{ij}$ ($i=0,1,2,...,p$, $j=1,2,...,q$) and $w_j$ ($j=0,1,2,...,q$) are connection weights of network; $p$ and $q$ are the number of nodes at input and hidden layers respectively and $w_{0j}$ and $w_{0ij}$ are biases value of output and hidden layers, respectively.

Back propagation (BP) algorithms are the most popular algorithms that used for training ANNs due to their success from both simplicity and applicability viewpoint. In this regard, the error between the target and output values passed to each unit in the backward direction, and the new modified weight matrix computed in order to minimize the sum-squared error function:

$$
E(w_{0}^{(n)}) = \frac{1}{2} \sum_{p} \sum_{j} \left( \text{target}_j^{(n)} - \text{out}_j^{(n)}(\text{in}_j^{(n)}) \right)^2 = \frac{1}{2} \sum_{p} \sum_{j} e_j^2
$$

Modification process contains a series of gradient descent weight updates as follow:
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\[ w^k_i(t+1) = w^k_i(t) + \eta \delta^k_{ij} y_{m(j-1)i} \]

\[
\begin{align*}
\delta^k_{mj} &= f'(z^k_{mj}) \sum_{i=1}^{N_{j+1}} \delta^k_{m(j+1)i} w^k_{(j+1)i}(t) \quad j = L-1, \ldots, 1, \\
\delta^k_{mj} &= f'(z^k_{mj}) e^k_{mj},
\end{align*}
\]

where, \( z^k_{mj} \) is the input of each neuron that is the weighted sum of the outputs from the previous layer; \( t \) is the number of training set; \( L+1 \) is the number of layers in the network; \( f' \) is the derivative of the activation function, \( \eta \) is a positive constant, called learning factor [14].

BP algorithms consist of several training methods such as Quasi-Newton algorithms, Levenberg-Marquardt method, Conjugate Gradient algorithms, Resilient Backpropagation algorithm, etc. All of these algorithms have their benefits and suitable for specified ANNs according to the number of layers, nodes and transfer functions in each layer. Because we use squashing functions in our ANNs and the number of weights in the networks is too large, we use Resilient Backpropagation algorithm to eliminate harmful effects of squashing functions in the magnitudes of the partial derivatives and for faster training.

4. WAVELET PACKET TRANSFORM

The first transform that used for analyzing earthquake accelerograms was Fourier Transform, which obtained the frequency contents of signals over the analysis window and, as such, lacks any time domain localization information. Wavelet Transform (WT) uses long time windows to get a finer low-frequency resolution and short time windows to get high-frequency information of signals. Thus, WT gives precise frequency information at low frequencies and precise time information at high frequencies [15]. In Discrete Wavelet Transform (DWT), we downsample the original signal into two groups of coefficients: Approximations (cA) and Details (cD), which contain low-frequency components and high-frequency components of signal, respectively. The decomposition process can be iterated, by applying this procedure to the cA of the upper level so signal is broken down into many lower-resolution components.

The Wavelet Packet Transform (WPT) is an important extension of the DWT, which performs decomposition on both the Approximations and the Details. Therefore, in the WP decomposition of a signal, the signal is filtered with both low-pass (LP) and high-pass (HP) filters named as quadratic mirror filters.

Wavelet packets \( \omega^j_{m}(t) \) are a collection of basis function \( 2^{-j/2} \omega^m(2^{-j}t-k) \). \( j \) and \( k \) are respectively the scaling (frequency localization) parameter and the translation (time localization) parameter, and \( m = 0, 1; \ldots \) is the oscillation parameter. The first two wavelet packet functions \( (m = 0, 1; j = k = 0) \) are also called the scaling function \( \varphi(t) \) and the mother wavelet \( \psi(t) \) [16]:

\[
\begin{align*}
\delta^k_{mj} &= f'(z^k_{mj}) \sum_{i=1}^{N_{j+1}} \delta^k_{m(j+1)i} w^k_{(j+1)i}(t) \quad j = L-1, \ldots, 1, \\
\delta^k_{mj} &= f'(z^k_{mj}) e^k_{mj},
\end{align*}
\]
Other wavelet packet functions \((m = 2, 3, \ldots)\) are defined through the following sequence of functions [17]:

\[
\omega_{2n}(t) = \sqrt{2} \sum_{l} h_l \omega_n(2t - l)
\]

\[
\omega_{2n+1}(t) = \sqrt{2} \sum_{l} g_l \omega_n(2t - l),
\]

where \(h_l\) is the low-pass (scaling) filter and \(g_l\) is the high-pass (wavelet) filter.

For a discrete signal, the decomposition coefficients of wavelet packets computed by the following equations:

\[
x_{2n,j+1}^i = \sum_l h_{l-2k} x_{n,j}^i
\]

\[
x_{2n+1,j+1}^i = \sum_l g_{l-2k} x_{n,j}^i
\]

The original signal reconstructed iteratively by

\[
x_{n,j}^i = \sum_k h_{l-2k} x_{2n,j+1}^k + \sum_l g_{l-2k} x_{2n+1,j+1}^i
\]

Figure 1 shows a 2-level WPT based on the Conventional (decimated) DWT.

5. PRINCIPAL COMPONENT ANALYSIS

The main idea of Principal Component Analysis (PCA) is to reduce dimensionality a data
set that consist of a large number of interrelated variables, while retaining as much as possible of the variation present in the data set. This is achieved by transforming data to a new set of variables, the Principal Components (PCs), which are uncorrelated and are ordered so that the first few retain most of the variation present in all of the original variables [18].

The main results of PCA are scores, which related to the samples and factor loadings which reflect how much a variable contributes to that particular PC and how well one variable is similar with others. Therefore the higher loading of a variable, the more contribution of variable to the variation that accounted for by the particular PC [19, 20].

Assume that input data \( X = [X_1 X_2 \ldots X_p] \) be an \( n \times p \) matrix of \( n \) observations on \( p \) variables and conventionally be columnwise standardized. By using PCA, we postulate that \( X \) approximated by the following bilinear structure:

\[
\hat{X} = S Q^T
\]

where \( S = [S_1 S_2 \ldots S_r] \) is a \( n \times r \) matrix of \( n \) component scores on \( r \) (\( 1 \leq r \leq p \)) components, and \( Q = [Q_1 Q_2 \ldots Q_r] \) is a \( p \times r \) matrix consisting of the eigenvectors of \( X^T X \) and \( Q^T Q = D \). Next, we find model parameters \( S \) and \( Q \) such that

\[
\theta = tr( X - \hat{X})^T (X - \hat{X})
\]

is minimized for the prescribed \( r \) components [21]. \( r \) components can be selected by comparing the total variance of PCs and a desired effective variance ratio \( R_{\text{eff}}^{\text{var}} \):

\[
\frac{\sum Var_{\text{eff-PC}}}{\sum Var_{\text{tot-PC}}} \leq R_{\text{eff}}^{\text{var}}
\]

6. THE PROPOSED METHOD

The main objective of this paper is to develop a methodology based on ACO-Rprop for training neural networks that are capable of generating multiple accelerograms for specified input response spectrum that includes the site geology specifications of a specified site. The generated accelerograms should have response spectra closely approximate to the input response spectrum. In addition, the other characteristics of the generated accelerograms, such as their duration, should be similar to those of the recorded accelerograms used to train the neural networks. This procedure contains three main stages: Data Preparation phase, ANN Training and Testing phase, Artificial Earthquake Records Generation stage.

6.1. Data Preparation phase

The main tool that used for generating accelerograms is ANN that can solve our inverse problem. The inputs of ANNs are the response spectrum of accelerogram and the outputs are the WPCs at level \( j \) of the WPT of the earthquake records. Therefore, for generation of multiple spectrum compatible artificial earthquake records, we need a set of historical
accelerograms that used for training ANNs.

Many factors influenced earthquake ground motions, which one of the most important factors is local soil conditions. Soil conditions influence ground motion and its attenuation. Studies on the influence of site geology on ground motion use the average shear wave velocity to identify the soil category. The scrutinizes show that for the same distance, magnitude, and fault mechanism, as the soil becomes stiffer (i.e. a higher shear wave velocity), the peak ground acceleration becomes smaller. In addition, there is a general agreement between various investigators that the soil condition has a pronounced influence on velocity and displacement of earthquake, and accelerograms that recorded on soil subsoil have larger peak horizontal velocity than those recorded on rock subsoil [22].

This factor was not entered in the models of previous related works. In this study, we will apply this factor by categorizing the training accelerograms into two groups: Rock & Soil, according to the site geology of their recorder stations. Because we use Iranian strong motion, we use Iranian seismic design code (Standard No.2800-05 3rd Edition) in this classification. Table 1 shows this standard criterion for site geology categorizing:

<table>
<thead>
<tr>
<th>Ground Type</th>
<th>Explanation of materials</th>
<th>Shear wave velocity (m/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Un-weathered igneous rocks, hard sedimentary rocks and metamorphic rocks (as gneisses and crystalline silicate rocks)</td>
<td>$V_s \geq 750$</td>
</tr>
<tr>
<td></td>
<td>Very hard conglomerates very compact and very hard sediment</td>
<td>$375 &lt; V_s &lt; 750$</td>
</tr>
<tr>
<td>II</td>
<td>Soft igneous rocks e.g. tuffs, clay stones, shale and semi-weathered or altered rocks</td>
<td>$375 &lt; V_s &lt; 750$</td>
</tr>
<tr>
<td></td>
<td>Crushed (but not hardly) hard rocks , foliated metamorphic rocks, conglomerate and compact sand and gravel</td>
<td></td>
</tr>
<tr>
<td>III</td>
<td>Weathered rocks, semi-compact sands and gravels, other compact sediments</td>
<td>$175 &lt; V_s &lt; 375$</td>
</tr>
<tr>
<td></td>
<td>Compact sandy clay soils, with low ground water level</td>
<td></td>
</tr>
<tr>
<td>IV</td>
<td>Soft sediments, clay soils, weak cemented and un-cemented sands, incompact soils with high ground water level</td>
<td>$V_s &lt; 175$</td>
</tr>
<tr>
<td></td>
<td>Any kind of soft soils</td>
<td></td>
</tr>
</tbody>
</table>

According to this table, soil types I & II are Rock ($375 \leq V_s$) and soil types III & IV are Soil ($375 > V_s$) and grouping training set is accomplished according to the average shear wave velocity for the upper 30 meters of the soil layer under the stations that record accelerograms.

The peak ground acceleration (PGA) of all the accelerograms scaled to $1g$ so we could compare their response spectrum. Next, we categorize accelerograms in four duration groups 10, 20, 30, and 40 seconds, based on their bracketed durations (0.05g as acceleartaiion level). For better and faster training of ANNs, PGA of all accelerograms in each group shifted to make the PGA of each accelerogram aligned at the same time. This operation is performing by adding or deleting zeros from the start or end of accelerograms in specified manner so
Hybrid Artificial Neural Networks based on ACO-Rprop for ... navigates of them not changed. Tables 2 to 8 show the list of earthquake records, used in training set of the ANNs, in each soil condition and duration group.

It should be noted that for the group of 10 sec duration and Soil site geology, researchers could not find any suitable records for training ANNs.

<table>
<thead>
<tr>
<th>Earthquake</th>
<th>Station</th>
<th>Date</th>
<th>Magnitude</th>
<th>Modified PGA (cm/sec²)</th>
<th>Duration (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAGHAN</td>
<td>NAGHAN</td>
<td>1977.04.06</td>
<td>6.2</td>
<td>761</td>
<td>9</td>
</tr>
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<td>TABAS</td>
<td>1978.09.17</td>
<td>4.8</td>
<td>93.5</td>
<td>8.34</td>
<td></td>
</tr>
<tr>
<td>SHALAMZAR</td>
<td>1984.06.01</td>
<td>5</td>
<td>337.2</td>
<td>8.4</td>
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</tr>
<tr>
<td>SARI</td>
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<td>5.8</td>
<td>143.1</td>
<td>9.2</td>
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<tr>
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<td>HAMEDAN</td>
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<td>27.6</td>
<td>8.3</td>
<td></td>
</tr>
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<td>NAHAVAND</td>
<td>1998.08.25</td>
<td>4.5</td>
<td>84</td>
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<td>DAM(HINY MINY)</td>
<td>1998.10.04</td>
<td>4.8</td>
<td>359</td>
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<td>CHENAR</td>
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<tr>
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<tr>
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<td>4.3</td>
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<tr>
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<td>Tange Eram</td>
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<td>75.2</td>
<td>8</td>
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<table>
<thead>
<tr>
<th>Earthquake</th>
<th>Station</th>
<th>Date</th>
<th>Magnitude</th>
<th>Modified PGA (cm/sec²)</th>
<th>Duration (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIRCH</td>
<td>1989.11.20</td>
<td>5.7</td>
<td>65</td>
<td>18.3</td>
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<td>5</td>
<td>87.8</td>
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<td>ZANJIRAN</td>
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<td>5.9</td>
<td>310.5</td>
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<td>ZANJIRAN</td>
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<td>5.9</td>
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<td>ZANJIRAN</td>
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<td>5.9</td>
<td>12.6</td>
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<td></td>
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<tr>
<td>SERVESTAN</td>
<td>1994.07.31</td>
<td>5.3</td>
<td>163.8</td>
<td>17.8</td>
<td></td>
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<tr>
<td>HOSSEINEH OLYA</td>
<td>NIR (KARSHENASI)</td>
<td>1997.02.28</td>
<td>6.1</td>
<td>38.7</td>
<td>15.6</td>
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<tr>
<td>SAREIN</td>
<td>KARIQ</td>
<td>1997.02.28</td>
<td>6.1</td>
<td>494.3</td>
<td>15.4</td>
</tr>
<tr>
<td>SAREIN</td>
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<td>5</td>
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<tr>
<td>SAREIN</td>
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<td>5.5</td>
<td>14</td>
<td>17.9</td>
</tr>
<tr>
<td>SAREIN</td>
<td>DAM (SATARKHAN)</td>
<td>1998.07.11</td>
<td>5.5</td>
<td>15.1</td>
<td>17.3</td>
</tr>
</tbody>
</table>
Table 4: List of training earthquake records, 30 sec duration, Site geology: Rock [31]

<table>
<thead>
<tr>
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Table 6: List of training earthquake records, 20 sec duration, Site geology: Soil [31]

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<td>Bam</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>759.6</td>
</tr>
<tr>
<td>KAHAK</td>
<td></td>
<td>Panzdahe khordad</td>
<td>2007.06.18</td>
<td>5.4</td>
<td>41.6</td>
</tr>
<tr>
<td>KAHAK</td>
<td></td>
<td>Naragh</td>
<td>2007.06.18</td>
<td>5.4</td>
<td>20.6</td>
</tr>
</tbody>
</table>

In the previous work, some researchers use pseudo-velocity response spectrum (PSV) as inputs [2,6] and others use pseudo-velocity response acceleration (PSA) [7]. In this research, both PSV & PSA used for training ANNs separately, to compare the results and recognizing that which of them is more suitable for our purpose. The values of the response spectrums of accelerograms calculated at 1000 discrete frequencies according to the following formula [23]:

\[
\ddot{x}(t) + 2\zeta\omega_n \dot{x}(t) + \omega_n^2 x(t) = -a_g(t),
\]

(15)

\[
PSV(\omega, \zeta) = \omega_n \max_{\lambda} |x(\lambda)|, \lambda = 1, 2, 3, ..., 1000, \quad \zeta = 5\%.
\]

(16)

\[
PSA(\omega, \zeta) = \omega_n \max_{\lambda} |x(\lambda)|, \lambda = 1, 2, 3, ..., 1000, \quad \zeta = 5\%.
\]

(17)
where $\omega_l$, $\zeta$ and $a_g(t)$ are the fundamental frequency and the damping coefficient of the single degree of freedom system and the earthquake ground acceleration, respectively.

Table 8: List of training earthquake records, 40 sec duration, Site geology: Soil [31]

<table>
<thead>
<tr>
<th>Earthquake</th>
<th>Station</th>
<th>Date</th>
<th>Magnitude $M_S$</th>
<th>Modified PGA ($\text{cm/sec}^2$)</th>
<th>Duration (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>KHAF</td>
<td>1979.11.14</td>
<td>6.7</td>
<td>80.95</td>
<td>38.9</td>
<td></td>
</tr>
<tr>
<td>GONABAD</td>
<td>1979.11.27</td>
<td>7.3</td>
<td>73</td>
<td>39.94</td>
<td></td>
</tr>
<tr>
<td>KHEZRI</td>
<td>1979.11.27</td>
<td>7.3</td>
<td>95.1</td>
<td>35.02</td>
<td></td>
</tr>
<tr>
<td>KERMAN</td>
<td>1981.07.28</td>
<td>7</td>
<td>98.2</td>
<td>37.92</td>
<td></td>
</tr>
<tr>
<td>Manjil-Rudbar</td>
<td>ESHTEHARD</td>
<td>1990.06.20</td>
<td>7.4</td>
<td>76.5</td>
<td>40</td>
</tr>
<tr>
<td>GARMKHAN</td>
<td>BAREZO DAM</td>
<td>1997.02.04</td>
<td>6.8</td>
<td>41.6</td>
<td>35.76</td>
</tr>
<tr>
<td>SAREIN</td>
<td>ARDEBIL 1</td>
<td>1997.02.28</td>
<td>6.1</td>
<td>109.1</td>
<td>40</td>
</tr>
<tr>
<td>SAREIN</td>
<td>ARDEBIL/MASKAN</td>
<td>1997.02.28</td>
<td>6.1</td>
<td>42.8</td>
<td>40</td>
</tr>
<tr>
<td>SAREIN</td>
<td>( )</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GOLBAF</td>
<td>KERMAN 2</td>
<td>1998.03.14</td>
<td>6.9</td>
<td>40.1</td>
<td>39.5</td>
</tr>
<tr>
<td>GOLBAF</td>
<td>KERMAN 1</td>
<td>1998.03.14</td>
<td>6.9</td>
<td>35.5</td>
<td>37</td>
</tr>
<tr>
<td>BIRJAND</td>
<td>1998.04.10</td>
<td>5.7</td>
<td>16.6</td>
<td>34.26</td>
<td></td>
</tr>
<tr>
<td>MESHKINSHAHR</td>
<td>1998.07.09</td>
<td>5.5</td>
<td>22.7</td>
<td>31.98</td>
<td></td>
</tr>
<tr>
<td>LALEHZAR</td>
<td>1999.03.04</td>
<td>6.4</td>
<td>15.3</td>
<td>33.26</td>
<td></td>
</tr>
<tr>
<td>KAREBAS</td>
<td>GUYOM</td>
<td>1999.05.06</td>
<td>6.3</td>
<td>37.2</td>
<td>36.52</td>
</tr>
<tr>
<td>KAREBAS</td>
<td>BABAMONIR</td>
<td>1999.05.06</td>
<td>6.3</td>
<td>13.4</td>
<td>39.66</td>
</tr>
<tr>
<td>Changureh-Avaj</td>
<td>BOOIN ZAHRA</td>
<td>2002.06.22</td>
<td>6.4</td>
<td>18.7</td>
<td>40</td>
</tr>
<tr>
<td>Changureh-Avaj</td>
<td>ABHAR</td>
<td>2002.06.22</td>
<td>6.4</td>
<td>38.7</td>
<td>40</td>
</tr>
<tr>
<td>Changureh-Avaj</td>
<td>ESHTEHARD</td>
<td>2002.06.22</td>
<td>6.4</td>
<td>18.3</td>
<td>31.94</td>
</tr>
<tr>
<td>Bam</td>
<td>Joshan</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>24.4</td>
<td>39.66</td>
</tr>
<tr>
<td>Bam</td>
<td>Kerman1</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>18.3</td>
<td>38.86</td>
</tr>
<tr>
<td>Bam</td>
<td>Mohamad Abad</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>117.9</td>
<td>38.44</td>
</tr>
<tr>
<td>Bam</td>
<td>Ravar</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>12</td>
<td>38.38</td>
</tr>
<tr>
<td>Bam</td>
<td>Lale Zar</td>
<td>2003.12.26</td>
<td>6.7</td>
<td>12.8</td>
<td>35.36</td>
</tr>
<tr>
<td>Kojur Firoozabad</td>
<td>Tonekabon</td>
<td>2004.05.28</td>
<td>6.3</td>
<td>45.9</td>
<td>39.88</td>
</tr>
<tr>
<td>Silakhor</td>
<td>Khoram Abad</td>
<td>2006.03.31</td>
<td>6.4</td>
<td>35.3</td>
<td>39.89</td>
</tr>
<tr>
<td>Silakhor</td>
<td>Khondab</td>
<td>2006.03.31</td>
<td>6.4</td>
<td>50.9</td>
<td>36.48</td>
</tr>
<tr>
<td>KAHAK</td>
<td>Mamooniyeh</td>
<td>2007.06.18</td>
<td>5.4</td>
<td>34.6</td>
<td>40</td>
</tr>
<tr>
<td>KAHAK</td>
<td>Veshnaveh</td>
<td>2007.06.18</td>
<td>5.4</td>
<td>38.9</td>
<td>39.94</td>
</tr>
<tr>
<td>KAHAK</td>
<td>Raveh</td>
<td>2007.06.18</td>
<td>5.4</td>
<td>21.7</td>
<td>33.94</td>
</tr>
</tbody>
</table>

According to the above calculations, input layers of ANNs will have 1,000 neurons that it would make impossible to training and converging ANNs. Therefore, with the usage of PCA these values will reduce to a reasonable number by a high precision.

Wavelet packet coefficients of accelerogram ($a_g(t)$) are embedded in the inner product of the signal with every wavelet packet function, denoted by $c_j^i(k)$ and calculated as follow:

$$c_j^i(k) = \sum_{l} a_g(t) \omega_{j,a}^k(t),$$  \hspace{1cm} (18)$$

where $c_j^i(k)$ denotes the $i$th set of wavelet packet decomposition coefficients at the $j$th scale parameter and $k$ is the translation parameter.
The wavelet packet components of accelerogram $a'_j(t)$ represented by a linear combination of wavelet packet functions $\omega^j_{i,n}(t)$ as follows:

$$a'_j(t) = \sum_i c^j_i(k) \omega^j_{i,n}(t).$$

After $j$ level of decomposition, the original signal $a_g(t)$ can be reconstructed as [6]:

$$a_g(t) = \sum_{i=1}^{2^j} a'_j(t).$$

WPCs of accelerograms computed with Shannon-Entropy at appropriate WPT decomposition level, $j$, with db-10 wavelet, although other wavelets would not make any significant change in the results. PCA is not reliably reversible. Therefore, we apply it only on inputs (PSA or PSV) and outputs (WPCs) will apply to ANNs without any modifications.

6.2. ANN Training and Testing phase

As mentioned before the main subject of this paper is the using ANNs to mapping a relation between response spectrum of training accelerograms that compact with PCA and WPCs of them. The training procedure of a neural network has two separate stage: first specifying the network’s architecture that includes the number of hidden layers, the number of nodes in each layer and the activation functions of hidden and output layers; second modifying the weights and biases of ANNs so the difference between outputs of ANN and targets being less than a specified amount.

In this study, all ANNs have only one hidden layer, also the number of neurons at hidden layer determined according to the number of nodes at input and output layers and experience. For enabling ANNs to make a nonlinear relation between inputs and outputs the activation functions of hidden layers are ‘tansig’ and because outputs (WPCs) have a wide range values, the activation functions of outputs layers are ‘purelin’.

BP algorithms, that are gradient descent in essence, are the most widely used search technique for evolving weights and biases of MLFF. One of characteristics of the gradient descent algorithms is rapid convergence on the local optima. Another problem that encountered when using BP is that, when the number of neurons of various layers increased, the time of evolving ANN increase rapidly. For overcoming these drawbacks, first ACO algorithm is used to locating the parameters of ANNs in the near of their optimum value so ANNs escape from overfitting and then BP algorithm is used to find the accurate parameters.

6.2.1. ACO for neural network optimization

Many researchers use ACO for optimizing ANNs in many science aspects [24-26]. Figure 2 gives the flow chart of the algorithm.

The detail of ACO for ANN optimization is as follows:

1) Initialization. Create a pheromone table for each parameter (biases and weights) and
all values have the same amount of pheromone \( \tau_0 \).

2) Release ants. Each ant selects a value for each parameter according to their pheromone value and probability such as:

\[
P(i) = \frac{\tau(i)}{\sum_{j \in \text{Ants}} \tau(j)}.
\]

For the first iteration all values selected randomly.

3) Compute each ant’s solution cost. When all ants select a matrix for biases and weights of ANN, cost for \( k \)th ant is compute as follow:

\[
L(k) = \frac{1}{2} \sum_{s=1}^{p} \sum_{i=1}^{N_M} (d_{s,i} - y_{s,i}^M)^2,
\]

where \( d_{s,i} \) and \( y_{s,i}^M \) are the \( i \)th target and actual outputs corresponding to the \( s \)th training pattern respectively, \( N_M \) is the number of output units and \( P \) is the number of training samples.

4) Check termination criterion. If algorithm reaches to its maximum iteration or the best ant’s solution reach to precision or all the ants converge on one path, set NN parameters to the bests that find in algorithm and evolving the network using BP algorithm. Else, start next iteration.
5) Update pheromone matrix. After computing all ants’ cost update pheromone matrix according to the following formula:

\[
\tau(t + 1) = \rho \times \tau(t) + \frac{Q}{L(k)} , \text{if value is selected by } k_{th} \text{ ant for } m_{th} \text{ parameters}
\]

\[
\tau(t + 1) = \rho \times \tau(t) , \text{if value is not selected by } k_{th} \text{ ant for } m_{th} \text{ parameters}
\]

(23)

6.2.2. Evolving the network using BP algorithm

Set the best parameters that founded by ACO algorithm as the initial weighs and biases of ANNs, and using BP algorithm to finding the exact values. ‘tansig’ that used as the transfer function of hidden layers is a sigmoid function. These types of functions are called “squashing” functions, because they compress an infinite input range into a finite output range. Sigmoid functions are characterized by the fact that their slopes should approach zero, as the input gets large. This causes a problem when the steepest descent is used to train a multilayer network with sigmoid functions, because the gradient can have a very small magnitude and, therefore, it may cause small changes in the weights and biases, even when the weights and biases are far from their optimal values [27].

Therefore, for better and faster training of ANN, we use resilient backpropagation (Rprop) algorithm for evolving the ANNs parameters. Rprop performs a direct adaptation of the weight step based on local gradient information. This algorithm, apply an individual update-value for each weight ($\Delta_{ij}$), which solely determines the size of the weight-update. This adaptive update-value evolves during the learning process based on its local sight on the error function ($E$), according to the following learning rule:

\[
\Delta_{ij}^{(t)} = \begin{cases} 
\eta^+ \times \Delta_{ij}^{(t-1)}, & \text{if } \frac{\partial E^{(t-1)}}{\partial w_{ij}} \times \frac{\partial E^{(t)}}{\partial w_{ij}} > 0 \\
\eta^- \times \Delta_{ij}^{(t-1)}, & \text{if } \frac{\partial E^{(t-1)}}{\partial w_{ij}} \times \frac{\partial E^{(t)}}{\partial w_{ij}} < 0 \\
\Delta_{ij}^{(t-1)}, & \text{else,}
\end{cases}
\]

(24)

where $0 < \eta^- < 1 < \eta^+$ that increase or decrease the update value.

Once the updated value for each parameter is adapted, the parameter updates itself according to a very simple rule: if the derivative is positive (increasing error), the parameter is decreased by its updated value, if the derivative is negative, the updated value is added, and if the partial derivative changes sign, the previous updating weight is reverted [28]:
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\[ \Delta w_{ij}^{(t)} = \begin{cases} \Delta_{ij}^{(t)} \cdot \frac{\partial E^{(t)}}{\partial w_{ij}} > 0, \\ + \Delta_{ij}^{(t)} \cdot \frac{\partial E^{(t)}}{\partial w_{ij}} > 0, \\ -2 \times \Delta w_{ij}^{(t-1)} \cdot \frac{\partial E^{(t-1)}}{\partial w_{ij}} \times \frac{\partial E^{(t)}}{\partial w_{ij}} < 0, \\ 0, \text{else,} \end{cases} \] (25)

\[ w_{ij}^{(t+1)} = w_{ij}^{(t)} + \Delta w_{ij}^{(t)} \] (26)

### 6.2.3. Testing the ANN

After completing the training phase and all ANNs reached to desire performance, the trained ANNs were tested by presenting the response of accelerograms as input and comparing them with the ANNs accelerograms that derived by applying Inverse Wavelet Packet Transform (IWPT) to the outputs of networks. These comparisons first performed for the accelerograms in the training set, and if ANNs produced the same accelerograms, next a novel accelerograms which were not included in the training set is apply to the networks. With these actions we can certain that ANNs learned their learning patterns, could generate reasonable response to new inputs, and are not overfitting.

If ANNs do not pass the testing phase, training phase start again with new conditions for ACO algorithm.

### 6.3. Artificial Earthquake Records Generation stage

If ANNs passed the training phase, they used for generating an artificial earthquake record that its response spectrum is closely matched the input spectrum. This is a useful property of the neural network based method, which it will enable to generate of accelerograms compatible with any defined design spectra. The generated accelerograms can then used in time history analysis of linear and nonlinear structures.

For applying a desire response spectrum to ANNs, first real values of it transform to virtual relevant ones by using PCA and then these compact values applied to the ANNs to get their response. For generating multiple artificial earthquake records, a Gaussian noise applies to the outputs of the hidden and output layers. This method used by the other researchers, and allows the ANNs to generate accelerograms with same nature but different time history and response spectrum. So the output of neurons at hidden and output layers calculated as follow:

\[ Out_i = f\left(\sum_j W_{ji} \cdot X_i + b_i\right) + \epsilon, \] (27)

where \( Out_i, f, W_{ji}, X_i \) and \( b_i \) are the output, transfer function, input and bias of \( i \)th neuron,
respectively and \( \varepsilon \sim N(0, \sigma^2) \) (the activation randomness parameter) is a Gaussian distributed random noise having zero mean and \( \sigma^2 \) variance [2].

After generating artificial earthquake records by using IWPT to the outputs of ANNs, for more spectrum matching, detailed coefficients of artificial accelerograms that obtained by using discrete wavelet transform are modified by the Eq. 28 or 29:

\[
cD_j^{Mod} = cD_j \times \frac{\int_{T_{ij}}^{T_{ij}} PSA(T)_{Tar} dT}{\int_{T_{ij}}^{T_{ij}} PSA(T)_{Calc} dT}, \tag{28}
\]

\[
cD_j^{Mod} = cD_j \times \frac{\int_{T_{ij}}^{T_{ij}} PSV(T)_{Tar} dT}{\int_{T_{ij}}^{T_{ij}} PSV(T)_{Calc} dT}, \tag{29}
\]

\[T_{ij} = 2^i \Delta t \quad T_{2j} = 2^{i+1} \Delta t, \tag{30}\]

where \( T_{ij} \) and \( T_{2j} \) are boundary values of period range for level \( j \) in DWT and \( \Delta t \) is the time step of artificial accelerograms. Moreover, \( PSA(T)_{Calc} \) (\( PSV(T)_{Calc} \)) is artificial PSA (PSV) at period \( T \) that is computed from nonaligned artificial accelerogram and \( PSA(T)_{Tar} \) (\( PSV(T)_{Tar} \)) is artificial PSA (PSV) at period \( T \) [29,30].

The complete flowchart of proposed method illustrated in Figure 3.

7. INTERPRETIVE EXAMPLES

187 earthquake accelerograms that recorded in Iran are used for training the ANNs, that all of these records were discretized at 0.02 sec. [31]. Therefore, all accelerograms with durations of 10, 20, 30, and 40 sec. have 501, 1001, 1501, and 2001 discrete points, respectively. Tables 2 to 8 show the list of training records in each soil condition and duration group.
PSA or PSV spectra of all accelerograms are calculated numerically, according to Equations 15 to 17 at 1000 equally spaced discrete period in the range of 0.01-10s, with 5% damping ratio ($\zeta=5\%$). For reducing the dimension size of PSA (PSV), different effective variance ratios is selected as $R_{\text{eff}}^{\text{var}} = 0.999\%$, so the number of neurons in input layers of ANNs are according to Table 9.

As mentioned before, the output layer of neural networks has the wavelet packet coefficients at level $j$ of the wavelet packet transform of the earthquake accelerograms.

Appropriate decomposition levels selected according to different parameters such as the accelerogram’s duration, total WP packs coefficient numbers, desired precision in spectrum compatibility and the reasonable computational time in training ANNs. Therefore, the number of nodes at output layers of the ANNs, and the number ANNs that should trained, are determined after decomposition of accelerogram, and are according to Table 10.
Other parameters of neural networks architecture are transfer functions of layers and the number of neurons in hidden layers. Criterion of the selection of transfer functions discussed at section 6.2. The number of neurons at hidden layer varied from 35 to 43 according to the number of neurons at input and output layer and experience.

ACO as a metaheuristic algorithm has the advantage of global optimization and easy realization. Optimum state of using ACO derived only by selecting appropriate value for algorithm parameters. The important parameters that should define for algorithm are evaporation rate, number of explorer ants and initial pheromone value. The evaporation rate ($\rho$) enables the ants to forget the old solution and explore the new path. Usually $\rho$ sets as to 0.8-0.95, so we choose 0.9 in all algorithms.

A large number of ants ($N$) can endow algorithm with powerful ability of exploring more candidate solutions in an iteration, but it is at the expense of more CPU time in the case of nonparallel realization. A small $N$ is not good for exploring new paths, especially in the later phase of ACO algorithm [32]. In this study, we use 40-60 ants according to our desire precision.

If initial pheromone value ($\tau_0$) is selected too low, then the search is quickly biased by the first solutions generated by the ants, on the other side, if $\tau_0$ is too high, then many iterations are lost waiting until pheromone evaporation reduces enough pheromone values, so that pheromone added by ants can start to bias the search. For our purpose, we find the best value for $\tau_0$ according to trial and error procedures and TSP references.

By completion the training phase of ANNs, the trained neural networks tested with the records from the training group. Figures 4 and 5 show a test of the trained neural networks for 10 and 40 seconds duration in Rock training set and figure 6 shows another one for 30 seconds duration in Soil training set, respectively. The left side of each figure shows the result of ANNs that trained by PSV and the right shows the response of PSA’s ANNs.
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Figure 4. A test of ANNs from the Rock training group with 10 sec. duration (Shalamzar Sta., 1984)

Figure 5. A test of ANNs from the Rock training group with 40 sec. duration (Torbat-hydariyeh Station, 1979)
With comparison of the actual and generated accelerograms, and their response spectrums we can certain that the trained ANNs have learnt the training cases very well. Also the responses of ANNs that trained by PSA is completely alike that derived by PSV’s ANNs.

After make sure that ANNs learnt their training path, they tested by accelerograms that are not participated in their training set. For having a numerical criterion for comparison the results of ANNs with truth and other work, we represent the difference between actual and simulated response spectra by use of root mean square error (RMSE). Figures 7 and 8 show a test of the trained neural networks for new records in Rock 20 and 30 seconds duration and figures 9 and 10 show the results of Soil 20 and 40 seconds, respectively. Note that, the RMSE that written below the each figure is the average of ANNs that trained by PSA or PSV. By comparing the response spectra of actual and simulated accelerograms, and the value of RMSE we could conclude that ANNs were able to generate appropriate outputs to the inputs that were not in their training sets.

After testing phase, the major purpose of this paper that is generation of multi artificial earthquake records that their spectrum matched a flat design spectrum can derive. The generated accelerograms are artificial accelerograms with similar characteristics as those in the training set and their response spectra are very close to the input design spectrum. A number of design spectra presented as novel cases to the trained ANNs. For example figures 11 and 12 show the generated varied duration accelerograms from Newmark and Hall [33] with PGA 1g and mean hazard level for Rock and Soil geology. As shown in training and testing figures the response of
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Figure 7. A test of ANNs by a new accelerogram in Rock group with 20 sec. duration (Zarrat Sta., 1994) (RMSE = 0.1732)

Figure 8. A test of ANNs by a new accelerogram in Rock group with 30 sec. duration (Selseleh Sta., 1998) (RMSE = 0.1016)
Figure 9. A test of ANNs by a new accelerogram in Soil group with 20 sec. duration (B-Torkaman Sta., 1999) (RMSE= 0.1269)

Figure 10. A test of ANNs by a new accelerogram in Soil group with 40 sec. duration (Gomeshan Sta., 1999) (RMSE= 0.1117)
ANNs that trained by PSA or PSV are completely similar, therefore the artificial time histories that derived from PSA’s ANNs is just displayed.

Comparison between the target spectrum and the PSAs of artificial accelerograms shows that proposed method is capable of producing different but reasonable and realistic earthquake accelerograms from a desire design spectrum.

Figure 11. Generated earthquake accelerograms from the design response spectrum (mean-1.0g-Rock)
8. CONCLUDING REMARKS

In this paper, hybrid artificial neural networks based on ACO-Rprop used for generating multiple spectrum-compatible artificial earthquake records for specified site geology. By using the learning capability of ANNs, an inverse mapping from response spectrum to wavelet packet coefficients at level $j$ of the wavelet packet transform of the earthquake accelerograms is developed. Learning accelerograms categorized into Rock and Soil group.

Figure 12. Generated earthquake accelerograms from the design response spectrum (mean-1.0g-Soil) (Average of RMSE= 0.1639)
according to the shear velocity under their recorder stations. In addition, for having artificial records with varied durations and better resolution by using WPT, training sets categorized according to the bracket duration of accelerograms. So the proposed method is applied to a sample of 186 Iran recorded earthquakes categorized into two site geology and four duration groups 10, 20, 30, and 40 seconds. For overcoming the drawbacks of traditional ANN’s learning algorithms and faster training, a hybrid algorithm base on ant colony optimization and Resilient backpropagation algorithm (Rprop) is presented, also by using the PCA for decreasing the number of nodes at input layers, maximum time that spends for training one ANN is eliminated to 150 seconds.

After completing the training phase of ANNs, they tested with accelerograms from the training sets and accelerograms that were not included in training set. If the inputs to ANNs are included in training sets, they should generate an accelerogram completely similar to input. However, when PSA or PSV of accelerogram, which were not included in the training set, used as Input, the neural networks may perform in two different ways. First, the neural network picks an accelerogram similar to one from its training set, if its response spectrum is close to the input response spectrum. Second, in case there are no earthquake records in the neural network’s training set which have a response spectrum close to the input response spectrum, the trained neural network synthesizes a reasonable looking accelerogram from its training set.

After be certained that ANNs learned their learning path and could generate reasonable response to new inputs, artificial earthquake records derived by applying a desire design spectrum to ANNs’ input layers and a Gaussian noise to hidden and output layers. As shown in the previous pictures, the response spectra of the generated accelerograms are closed to the design spectrum (average RMSE = 0.1594) and they have time domain characteristics as earthquake accelerograms.

REFERENCES


